

UEC Academic Consulting Regulations

June 11, 2011

Article 1: Overview

These regulations establish policies pertaining to the handling of academic consulting at the University of Electro-Communications (UEC).

Article 2: Purpose

The purpose of academic consulting is to provide guidance and advice based on specialized knowledge in education, research, and technology possessed by UEC's directors or employees (hereafter, "scholars") upon the request of an external company or other party (hereafter, "the client"), and by supporting the activities of the client, to contribute to society and to help promote education and research at UEC as well as partnerships between industry and academia.

Article 3: Acceptance Standards

Academic consultation service requests are generally only accepted if the project involves work that overlaps or is closely aligned with work being done by UEC scholars, or in cases where there is no concern that such consultations will impede education and research activities in the future.

Article 4: Acceptance Conditions

If an academic consulting project is accepted, it shall be subject to the conditions listed below.

1. An academic consulting project cannot be unilaterally terminated by the client.
2. The portion of intellectual property rights (patent rights, utility model rights, design rights, trademarks, and rights to obtain these rights, as well as copyrights on works that the client has applied to use) resulting from academic consulting attributed to a UEC scholar involved in said academic consulting (hereafter, "the academic consultant") shall be attributed to the university.
3. The client shall pay the fee for academic consulting (hereafter, the "academic consulting fees") by the prescribed deadline.
4. Academic consulting fees received shall not be refunded.
5. Academic consulting shall generally occur on the university campus.

Article 5: Applying for Academic Consulting

- (1) Those who are considering applying for academic consulting services shall consult in advance with the industry-academia partnership coordinator at the Center for Industrial and Governmental Relations regarding the nature of the consultations sought.
- (2) The industry-academia partnership coordinator shall identify an academic consultant based on the nature of the topic of consultations, shall work in collaboration with that academic consultant to determine whether the request should be accepted, and shall notify the party seeking academic consulting services of the results.
- (3) Upon receiving notification that an academic consulting request has been accepted, the party seeking academic consulting services shall submit the separately provided Application for Academic Consulting (hereafter, "the application") to the President.

Article 6: Acceptance Finalization

- (1) Upon receiving an Application for Academic Consulting, the President shall make the final decision to accept said application if it is deemed that the provision of academic consulting services will not impede the operations of the university.
- (2) Once the President finalizes the acceptance of the project pursuant to the preceding clause, the client shall be notified of that decision.

Article 7: Payment of Academic Consulting Fees

- (1) The client shall pay academic consulting fees based on an invoice issued by UEC.

- (2) The amount of the academic consulting fees referred to in the preceding clause shall be a combined total of the fee to be charged for the provision of knowledge and know-how by the academic consultant involved, the direct necessary expenses involved in that academic consulting project (direct expenses), and a proportion of shared university fees (indirect expenses). This amount shall be determined through mutual agreement between the client, the academic consultant, and the industry-academia partnership coordinator.

Article 8: Expense Management

The expenses required for the academic consulting project shall be calculated by UEC.

Article 9: Start of Academic Consulting

The academic consultant shall begin providing academic consulting services as of the day the expenses required for academic consulting have been paid. However, payments of additional amounts may be required if deemed urgently necessary by the President.

Article 10: Suspension of Academic Consulting

- (1) The President may suspend or extend the duration of academic consulting in the event of a natural disaster or other such unavoidable circumstance.
- (2) In addition to the stipulations of the preceding clause, if the nature of the academic consulting project is deemed to require the application of the Regulations on Joint Research between the University of Electro-Communications and Other Organizations or the UEC Regulations on Sponsored Research, the academic consulting project can be suspended after collaborative deliberations with the client.
- (3) Upon receiving a request from a client to suspend academic consulting services, the President shall make a final decision about the matter after collaborative deliberations with the client.
- (4) If the decision is made to suspend or extend the duration of the consulting project pursuant to the preceding three clauses, the President shall notify both the client and academic consultant of this decision.

Article 11: Academic Consulting Collaborators

If the academic consultant deems it necessary to seek the participation or cooperation of other external parties in providing academic consulting services, external parties other than the assigned academic consultant may be allowed, with the client's consent, to participate in or cooperate with the academic consulting services as collaborators.

Article 12: Handling of Intellectual Property

The handling of intellectual property rights resulting from academic consulting shall be governed pursuant to the UEC Regulations regarding the Work Inventions of Employees.

Article 13: Confidentiality

If the academic consultant receives or acquires knowledge of either technical or business information from the client in the course of providing academic consulting services, the academic consultant shall adequately maintain the confidentiality of all information related to the consulting project.

Article 14: Public Disclosure

The President shall engage in collaborative deliberations with the client regarding the timing and method of the public disclosure of the results of an academic consulting project, should such disclosure become necessary.

Article 15: Reports

When the academic consulting project is complete, the academic consultant shall issue a report to that effect to the President.

Article 16: Other Provisions

Important stipulations related to the handling of academic consulting projects, beyond those provided herein, are established elsewhere.

Supplementary Provisions

These regulations shall go into effect on June 21, 2011.